# Design and Analysis of Algorithms Greedy Algorithms

- 1 Introduction of Greedy Algorithm
- Interval Scheduling
- Optimal Loading
- Scheduling to Minimizing Lateness
- 5 Fractional Knapsack Problem
- 6 Greedy Algorithm Does Not Work (not teach in class)

#### **Outline**

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#### **Motivation**

A game like chess can be won only by thinking ahead

 a player who is foucsed entirely on immediate advanatges is easy to defeat.

But in many other games, such as Scrabble

• it's fine to make whichever move seems best at the moment and not worrying too much about future consquences.





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The sort of myopic behavior is easy and convinient, making it an attractive algorithmic strategy

## **Greedy Algorithm**

Greedy algorithm works: proof of correctness

- Interval scheduling: induction on step
- Optimal loading: induction on input size
- Scheduling to minimum lateness: exchange argument

Greedy algorithm does not work: find a counter-example

Coin changing problem

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## **Interval Scheduling**

Input.  $S = \{1, 2, ..., n\}$  is a set of n jobs, job i starts at  $s_i$  and finishes at  $f_i$ .

 $\bullet$  Two jobs i and j are compatible if they don't overlaps:  $s_i \geq f_j$  or  $s_j \geq f_i$ 

Goal: find maximum subset of mutually compatible jobs.

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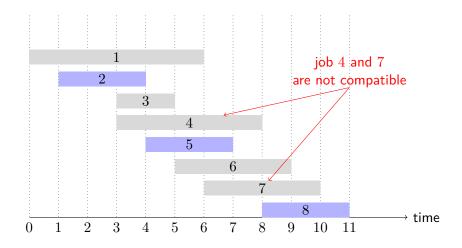
Goal: find maximum subset of mutually compatible jobs.

#### Instance

i	1	2	3	4	5	6	7	8
$s_i$	0	1	3	3	4	5	6	8
$f_i$	6	4	5	8	7	9	10	11

Solution.  $\{2,5,8\}$ 

## **Example**



## Interval Scheduling: Greedy Algorithm

#### Greedy template

- Consider jobs in some natural order, then take each job provided it's compatible with the ones already taken.
- ullet Selection strategy is short-sighted  $\leadsto$  the order might not be optimal

## Interval Scheduling: Greedy Algorithm

## Greedy template

- Consider jobs in some natural order, then take each job provided it's compatible with the ones already taken.

#### Candidate selection strategies

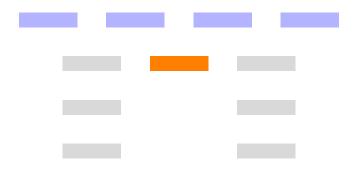
- ullet [Earliest start time] Consider jobs in ascending order of  $s_i$
- ullet [Earliest finish time] Consider jobs in ascending order of  $f_i$
- ullet [Shortest interval] Consider jobs in ascending order of  $f_i-s_i$
- [Fewest conflicts] For each job j, count the number of conflicting jobs  $c_j$ . Schedule in ascending order of  $c_j$ .

## **Counterexample for Earliest Start Time**



# **Counterexample for Shortest Interval**

## **Counterexample for Fewest Conflicts**



## **Greedy Algorithm: Earliest-Finish-Time-First**

# **Algorithm 1:** GreedySelect $(S, s_i, f_i, i \in [n])$

**Output:** maximum compatible subset  $A \subseteq S$ 

- 1: Sort jobs by finish time so that  $f_1 \leq \cdots \leq f_n$ ;
- 2:  $n \leftarrow |S|$ ;
- 3:  $A \leftarrow \emptyset$ ;
- 4: for  $i \leq 1$  to n do
- 5: **if** job i is compatible with A then  $A \leftarrow A \cup \{i\}$ ;
- 6: **end**
- 7: return A;
- Q. How to decide job i is compatible with A?
- A. Keep track of job  $j^*$  that was last added to A. Job i is compatible with A iff  $s_i \geq f_{j^*}$  holds.

#### **Demo of Earliest Finish Time First**

Input. 
$$S = \{1, 2, \dots, 8\}$$

i		1	2	3	4	5	6	7	8
s	i	0	1	3	3	4	5	6	8
f	i	6	4	5	8	7	9	10	11

Solution. 
$$A = \{2, 4, 8\}$$

Complexity. overall  $\Theta(n \log n)$ 

- Sorting by finish time:  $\Theta(n \log n)$
- Compare to check compatible: O(n)

Lemma. Earliest-finish-time-first algorithm always give the correct solution.

How to prove it?

## Mathematic Induction for Greedy Algorithm

#### Proof template for greedy algorithm

- Describe the correctness as a proposition about natural number n, which claims greedy algorithm yields correct solution.
  - ullet Here, n could be the algorithm steps or input size.
- 2 Prove the proposition is true for all natural number.
  - Induction basis: from the smallest instance
  - Induction steps: type 1 or type 2 induction

## **Proposition for Earliest-Finish-Time-First**

Let S be the job set of size n,  $s_i$  and  $f_i$  are the start time and finish time, A be a maximum compatible subset of S.

Proposition. When algorithm <u>GreedySelect</u> carries on the k-th step, it choose k jobs  $(i_1=1,i_2,\ldots,i_k)$ , which is exactly the first k jobs of A.

According the above proposition,  $\forall k$ , the first k-step choice is exactly the first k-jobs of some maximum compatible subset A, and will yield A in at most n steps.

### Mathematic Induction: Induction Basis

Let  $S=\{1,2,\ldots,n\}$  be the sorted job set:  $f_1\leq\cdots\leq f_n$ 

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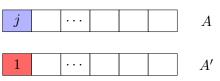
Induction basis. k = 1, prove A includes job 1

For an arbitrary maximum compatible subset A, sort jobs in A in ascending order according to the finish time.

If the first job in A is j and  $j \neq 1$ , then replace job j with job 1, yielding A':

$$A' = (A - \{j\}) \cup \{1\}$$

- 1 won't appear in  $(A \{j\}) \Rightarrow |A| = |A'|$
- $f_1 \leq f_j \Rightarrow$  replacement does not affect compatibility  $\Rightarrow A'$  is also one of the maximum compatible subset of A and includes job 1.



## Mathematic Induction: Induction Step (1/2)

Assume Proposition is true for k, prove it is also true for k+1

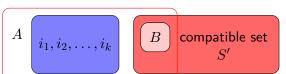
• (k+1)-step choice job  $i_{k+1}$  and  $(i_1, \ldots, i_k)$  forms the first k+1 jobs of some A for S.

Proof. After k steps, algorithm chooses  $i_1 = 1, i_2, \dots, i_k$ .

Premise  $\Rightarrow \exists$  a maximum compatible A that contains  $i_1, i_2, \dots, i_k$ .

• Let B the set of other elements in A (already sorted and not empty), and S' be the set of compatible elements w.r.t.  $\{i_1, i_2, \ldots, i_k\}$ .

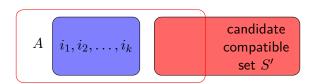
$$A = \{i_1, i_2, \dots, i_k\} \cup B$$
  
$$S' = \{i \mid i \in S, s_i \ge f_k\}$$



incompatible set

## Mathematic Induction: Induction Step (2/2)

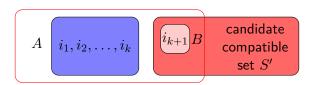
Consider two cases according to if job  $i_{k+1}$  is the 1st job in B.



## Mathematic Induction: Induction Step (2/2)

Consider two cases according to if job  $i_{k+1}$  is the 1st job in B.

• If  $i_{k+1}$  happens to the first job in B, then the desired result immediately follows, (k+1)-step choice still yields the partial solution of A.



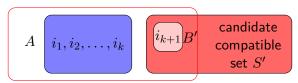
## Mathematic Induction: Induction Step (2/2)

Consider two cases according to if job  $i_{k+1}$  is the 1st job in B.

- If  $i_{k+1}$  happens to the first job in B, then the desired result immediately follows, (k+1)-step choice still yields the partial solution of A.
- If  $i_{k+1}$  is not the first job in B, then we must have  $i_{k+1} \notin B$ 
  - the strategy choice of the greedy algorithm  $\Rightarrow$  the finish time of  $i_{k+1}$  must be earlier than the first job in B
  - At this point, we can replace the first job in B with job  $i_{k+1}$ , yielding B'. Obviously, |B'| = |B|.

$$\{i_1, i_2, \dots, i_k\} \cup B' = A'$$

Note that  $|A| = |A'| \Rightarrow A'$  is still a maximum compatible set of S. This proves the induction step.



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## **Optimal Loading Problem**

Problem. Given n containers with weight  $w_i$  and a boat with maximum weight capacity W (no volume limit).

Goal. A loading plan that maximizes the number of containers on the ship.

Analysis. This problem is a special case of 0-1 knapsack problem.

- item: container
- boat: knapsack
- all  $v_i = 1$

## **Modeling**

Let  $(x_1, x_2, \dots, x_n)$  be the solution vector,  $x_i \in \{0, 1\}$ .

•  $x_i = 1$  iff *i*-th container is on the boat

#### Goal function:

$$\max \sum_{i=1}^{n} x_i$$

#### Constraint:

$$\sum_{i=1}^{n} w_i x_i \le W, x_i = \{0, 1\}, i \in [n]$$

## **Algorithm Design**

## Greedy strategy. lightest first

## Algorithm steps

- sorting container according to weight in ascending order, to ensure  $w_1 \leq w_2 \leq \cdots \leq w_n$
- loading the container from the smallest label, and stop until loading next container will exceed the limit

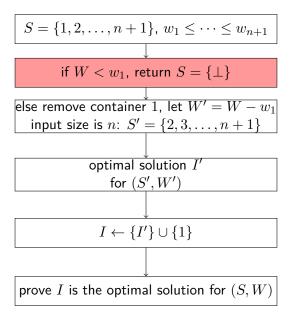
## **Proof of Correctness (Induction on Input Size)**

Lemma.  $\forall$  input size n, the algorithm yields the correct solution.

Let  $S = \{1, 2, ..., n\}$  be the set of containers that has been sorted in ascending order, and  $w_1 \le w_2 \le \cdots \le w_n$ .

- ullet Induction basis. Prove when the input size n=1 (there is only one container), the greedy algorithm will yield the correct solution. Obviously hold.
- ullet Induction steps. Prove if the greedy algorithm yield optimal solution for input size n, it will also yield optimal solution for input size n+1.

## **Analysis of Greedy Algorithm: Interpretation**



## Correctness Proof (1/2)

Premise of induction: greedy strategy will yield optimal solution for input size n, consider input size n+1

$$S = \{1, 2, \dots, n+1\}, w_1 \le w_2 \le \dots \le w_{n+1}$$

Premise of induction  $\Rightarrow$  for input size n

$$S' = \{2, \dots, n+1\}, W' = W - w_1$$

Greedy strategy yields optimal solution I' for (S', W').

Let 
$$I = I' \cup \{1\}$$
.

## Correctness Proof (2/2)

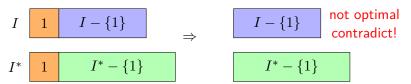
Claim. I is the optimal solution for (S, W).

Proof by contradiction. If not, suppose there exists an optimal solution  $I^*$  for (S,W) and  $|I^*|>|I|$ .

- Assume w.l.o.g.  $1 \in I^*$ , since otherwise we can replace 1 with the first container in  $I^*$ , also yield the optimal solution.
- $\bullet$   $I^*-\{1\}$  forms a solution for (S',W') and

$$|I^* - \{1\}| > |I - \{1\}| = |I'|$$

The existence of  $I^*$  contradicts to the premise that I' is the optimal solution for (S', W').



### **Summary**

- 0-1 knapscak is an  $\mathcal{NP}$ -hard problem
  - ullet optimal loading is a variant of 0-1 knapscak problem, and can be soleved using greedy algorithm efficiently

Correctness proof. Induction on input size

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## **Scheduling to Minimizing Lateness**

# Minimizing lateness problem (最小延迟调度)

- A job set A, single resource processes one job at a time, all jobs come in at time 0
- Job j requires  $t_j$  units of processing time and is due at time  $d_j$  (ddl). Clearly,  $t_j \leq d_j$ .
- If job j starts at time  $s_j$ , it finishes at time  $f_j = s_j + t_j$ .
- Scheduling:  $S: A \to \mathbb{N}$ ,  $S(j) = s_j$  is the start time of job j.
- Lateness: Lateness function computes the lateness of job:

#### Goal. Schedule all jobs to minimize max lateness

$$\min\{\max_{j \in A} \ell_j\} = \min\{\max_{j \in A} \{\max\{0, s_j + t_j - d_j\}\}\}\$$



#### Constraint. No overlap

$$\forall i, j \in A, i \neq j$$
  
$$s_i + t_i \le s_j \lor s_j + t_j \le s_i$$

## Example 1

A	1	2	3	4	5
$\overline{S}$	0	5	13	17	27
T	5	8	4	10	3
D	10	12	15	11	20
L	0	1	2	16	10

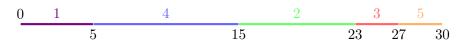
Table: Sequential scheduling



# Example 2

A	1	4	2	3	5
S	0	5	15	23	27
T	5	10	8	4	3
D	10	11	12	15	20
L	0	4	11	12	10

Table: Earliest-deadline first



# Minimizing Lateness: Greedy Algorithms

Greedy template. Schedule jobs according to some natural order.

 [Shortest processing time first] Schedule jobs in ascending order of processing time  $t_i$ .

A	1	2
T	1	10
D	100	10

• 
$$\ell_1 = 0$$
,  $\ell_2 = 11 - 10 = 1$ 

• 
$$\ell_2 = 0$$
,  $\ell_1 = 0$  (better)

• [Smallest slack] Schedule jobs in ascending order of slack  $d_i - t_i$ .

A	1	2
T	1	10
D	2	10

• 
$$\ell_2 = 10 - 10 = 0$$
,  $\ell_1 = 11 - 2 = 9$ 

• 
$$\ell_2 = 10 - 10 = 0$$
,  $\ell_1 = 11 - 2 = 9$   
•  $\ell_1 = 0$ ,  $\ell_2 = 10 + 1 - 10 = 1$  (better)

#### Minimizing Lateness: Earliest Deadline First

# **Algorithm 2:** Schedule(A, T, D)

```
1: sort n jobs in A so that d_1 \leq d_2 \leq \cdots \leq d_n;

2: t \leftarrow 0 //from time 0;

3: for j=1 to n do

4: assign job j to interval [t,t+t_j];

5: s_j \leftarrow t;

6: f_j \leftarrow t+t_j;

7: t \leftarrow t+t_j

8: end

9: return intervals [s_1,f_1],\ldots,[s_n,f_n]
```

#### Main idea

- earliest deadline first
- assign jobs one after another, no idle time

## **Correctness Proof: Exchange Argument**

#### Proof sketch

- Analyze the difference between optimal solution and algorithm solution (e.g. different order)
- Design a transform operation (e.g. swap), thus we can gradually convert an optimal solution to algorithm solution in finite steps.
- The transformation does not affect optimality of solution, since every step preserving optimality.

In this case, two properties of greedy algorithm solution:

- No idle time: every time there is a job being processed
- $\bullet$  No inversion. We say (i,j) forms an inversion if  $d_i>d_j$  but  $s_i< s_j$

## **Key Lemma about Algorithm Solution**

Lemma. All schedulings with no inversion and idle time have the same minimal max lateness time.

Proof. No inversion  $\Rightarrow$  tasks are sorted in ascending order of  $d_i$ . It is possible that several jobs has the same deadline. Jobs  $i_1, i_2, \ldots, i_k$  with the same deadline d are assigned arbitrarily. (green parts are identical)

• The start time is  $t_0$ , the finish time for one of these jobs is t, among this jobs, the max lateness is  $\max\{0, t-d\} \Leftarrow$  irrelevant to the order of  $i_1, i_2, \ldots, i_k$ .

$$t = t_0 + (t_{i_1} + t_{i_2} + \dots + t_{i_k})$$

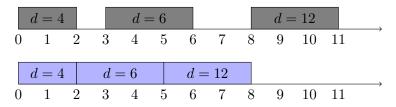
$$i_1, i_2, \dots, i_k$$

$$t_0 \qquad d \qquad t$$

Corollary. All possible algorithm solutions have the same minimal max lateness time.

#### **Examine the Optimal Solution**

Observation. There always exists an optimal schedule with no idle time.



Algorithm solution: the earliest-deadline-first schedule has no idle time.

- We have eliminate one difference between optimal solution and algorithm solution.
- There is another one: inversion

## **Minimizing Lateness: Inversions**

Inversion. Given a schedule S, an inversion is a pair of jobs i and j such that  $d_i < d_j$  but j scheduled before i, i.e.,  $s_j < s_i$ .

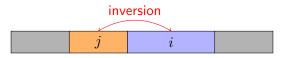
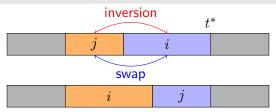


Figure: As before, jobs are numbered so that  $d_1 \leq d_2 \leq \cdots \leq d_n$ 

Fact. If a schedule (with no idle time) has an inversion, it has at least one pair of inverted jobs scheduled consecutively. (according to definition)

#### Minimizing Max Lateness: Inversions

Claim. Swapping two adjacent, inverted jobs reduces the number of inversions by one and does not increase the max lateness.



Proof. Let  $\ell$  be the lateness before the swap,  $\ell'$  be it afterwards.

- $i \leftrightarrow j$  does not affect the latest time of other jobs:  $\ell_k' = \ell_k$  for all  $k \neq i,j$
- $\ell_i' \leq \ell_i$  (because job i has been moved forwards)
- $$\begin{split} \bullet \; \ell'_j &= \max\{0, t^* d_j\} \; \text{(definition), } i \; \text{and} \; j \; \text{are inverted} \Rightarrow \\ d_i &< d_j, \; \text{thus} \; \ell'_j \leq \max\{0, t^* d_i\} = \ell_i \\ &\Rightarrow \max\{\ell_i, \ell_j\} \geq \max\{\ell'_i, \ell'_j\} \end{split}$$

#### **Putting All the Above Together**

Theorem. The earliest-deadline-first schedule S is optimal.

Proof. Define  $S^*$  to be an optimal schedule. Let's see what happens.

- Can always assume  $S^*$  has no idle time.
- If  $S^*$  has no inversions, then key lemma  $S \sim S^*$ , stop here.
- If  $S^*$  has an inversion, let  $i \leftrightarrow j$  be an adjacent inversion. Swapping i and j:
  - does not increase the max lateness
  - strictly decreases the number of inversions
- Continue the above process until there is no inversion, we can also conclude that  $S \sim S^*$ .

Max number of inversion is n(n-1)/2 (completely inverted), thus the transformation will stop in finite steps.

## **Summary of Greedy Analysis Trick**

Analysis. Find the difference between <u>optimal solution</u> and <u>algorithm solution</u>.

Exchange argument. Gradually transform an optimal solution to the one found by the greedy algorithm.

- at most require finite steps (seems unnecessary)
- each step of transformation does not hurt its quality

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#### Fractional Knapsack Problem

Input. Given n items with weight vector  $(w_1, \ldots, w_n)$  and value vector  $(v_1, \ldots, v_n)$ , and weight limit W > 0.

Goal. Find  $x = (p_1, \dots, p_n) \in [0, 1]^n$  (choose some fractions of n items) to satisfy:

- Optimized goal: maximizes  $\sum_{i=1}^n p_i v_i$
- Constraint:  $\sum_{i=1}^{n} p_i w_i \leq W$

The difference is that now the items are infinitely divisible.

#### **Greedy Algorithm**

# Greedy strategy. greatest value-per-weight ratio first

#### Algorithm

- Sort n items according to the decending order of value-per-weight ratio  $\alpha_i = v_i/w_i$ .
- iteratively picks the item with the greatest value-per-weight ratio
- if, at some step, the knapsack cannot fit the entire last item with current greatest value-per-weight ratio items, we will take a fraction of it to fill the knapsack.

## Correctness Proof (1/3)

**Lemma.**  $\forall$  input size n, the algorithm yields the optimal solution.

Proof idea. Mathematical reduction on input size.

Induction basis. When n=1, the greedy algorithm is obviously the optimal solution.

Induction step. Suppose the algorithm is optimal for n=k, then it is also optimal for n=k+1.

- Let  $p_1$  be the algorithm's output for the first item,  $I'=(p_2,\ldots,p_{k+1})$  be the output on instance  $(w_2,\ldots,w_{k+1})$ ,  $(v_2,\ldots,v_{k+1})$ , and  $W-p_1w_1$ .
- According to the induction premise, I' is the optimal solution of the above sub-instance of size n=k. Let  $I=p_1\cup I'$ .

Claim. Then, we claim I is the optimal solution for n = k + 1.

## Correctness Proof (2/3)

Proof by contradiction. If not, suppose there exists a more optimal solution  $I^*$  with maximal value  $V^*$ .

Prove the first element  $p_1^*$  of  $I^*$  must be equal to  $p_1$  of I.

- $p_1^* = p_1$ : we have nothing to prove.
- 2  $p_1^* > p_1$  is impossible, because the greedy strategy guarantees that  $p_1$  of I is as large as possible.
- ① If  $p_1^* < p_1$ , we can always increase it to  $p_1$  by decreasing total weight of its remaining k items by  $\Delta = (p_1 p_1^*)w_1$ . Note that such adjustment makes sense since the total weight of the remaining k items must be larger than  $\Delta$ . Otherwise, we must have  $V^* < V$ , which is not possible by premise. We then consider two sub-cases after adjustment:
  - The total value is unchanged. This is only possible when there exists at least one more item j such that  $\alpha_j = \alpha_1$ .
  - ullet The total value is higher. However, this case will never occur since it goes against the assumed optimality of  $I^*$ .

# Correctness Proof (3/3)

We conclude that either  $p_1^* = p_1$  or we can adjust it to this case without compromising optimality.

 $I^*-\{p_1\}$  forms a solution for  $W-p_1^*w_1=W-p_1w_1$  with items  $(2,\ldots,n+1)$  with total value  $V^*-\alpha_1p_1>V-\alpha_1p_1$   $\sim$  contradicts the optimality of I'

This proves I is the optimal solution for input size n = k + 1.

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# What if Greedy Algorithm Does not Work

#### Input analysis

• Determine the range of input that greedy strategy works.

## Error analysis

 Greedy algorithm is the approximation algorithm of the problem: estimate the distance between greedy solution and optimal solution (the upper bound over all inputs)

## **Coin Changing Problem**

Coin changing. Given n currency denominations

- $v_1 = 1, v_2, \dots, v_n, v_1 < v_2 < \dots < v_n$
- weight  $w_1, w_2, ..., w_n$ .

Goal. Devise a method to pay amount y using coins with lightest weight.

Example.  $v_1=1,\ v_2=5,\ v_3=14,\ v_4=18,\ w_i=1,\ i\in[n],\ y=28.$  In this case, the problem is equivalent to using fewest number of coins.

Solution.:  $x_3 = 2$ ,  $x_1 = x_2 = x_4 = 0$ , total weight is 2.

## Modeling

Let  $x_i$  be the number of coin i,  $i \in [n]$ 

Goal function.

$$\min\left\{\sum_{i=1}^n w_i x_i\right\}$$

Constraint.

$$\sum_{i=1}^{n} v_i x_i = y, x_i \in \mathbb{N}, i \in [n]$$

Next, we consider a special case:  $w_i = 1$  for all  $i \in [n]$ .

## **Dynamic Programming**

 $F_k(y)$ : the lightest weight using first k types of coins to pay amount y

The iteration equation

$$\begin{cases} F_k(y) = \min_{0 \le x_k \le \lfloor \frac{y}{v_k} \rfloor} \{ F_{k-1}(y - v_k x_k) + 1 \cdot x_k \} \\ F_1(y) = \frac{y}{v_1} = y \end{cases}$$

- Dynamic programming requires the domination of the first coin is 1 to ensure the constraint can always be met.
- Dynamic programming always give the optimal solution.

#### **Greedy Algorithm**

Strategy. Smallest  $w_i/v_i$  coin first. Since all  $w_i=1$ , this means largest domination coin first and  $v_1=1$ .

$$\frac{1}{v_1} > \frac{1}{v_2} > \dots > \boxed{\frac{1}{v_n}}$$

 $G_k(y)$ : greedy solution of using first k types coins to pay y

$$\begin{cases} G_k(y) = \left\lfloor \frac{y}{v_k} \right\rfloor + G_{k-1}(y \bmod v_k), k > 1 \\ G_1(y) = \frac{y}{v_1} = y \end{cases}$$

Thinking. Why we require all  $w_i = 1$ ? Otherwise, we cannot guarantee  $v_1 = 1$  appears at first place in line with greedy algorithm's input order. Looking ahead, we will use dynamic programming as a reference.

## n=1,2: Greedy Strategy Yield Optimal Solution

n=1: only one type of coin and we must have  $v_1=1$ .

• In this case,  $F_1(y) = G_1(y) = w_1 y$ 

n=2: for dynamic programming algorithm, the larger is  $x_2$ , the better is the solution

$$F_2(y) = \min_{0 \le x_2 \le \lfloor y/v_2 \rfloor} \{ F_1(y - v_2 x_2) + x_2 \}$$

Goal: prove  $F_2(y) = G_2(y)$ 

Technique: decide the monotonicity of function  $F_1(y-v_2x_2)+x_2$  about  $x_2$ 

$$[F_1(y - v_2(x_2 + \delta)) + (x_2 + \delta)] - [F_1(y - v_2x_2) + x_2]$$
  
=  $[(y - v_2x_2 - v_2\delta) + x_2 + \delta] - [(y - v_2x_2) + x_2]$   
=  $-v_2\delta + \delta = \delta(1 - v_2) < 0$ 

This proves the greedy that choice is optimal for n=2.

#### Criteria

Theorem. Let  $n_0$  be an integer. Suppose  $\forall k \leq n_0$ ,  $G_k(y) = F_k(y)$  for all  $y \in \mathbb{N}$ . Let  $(p, \delta)$  be the tuple such that  $v_{k+1} = pv_k - \delta$ , where  $0 \leq \delta < v_k$ ,  $v_k < v_{k+1}$ ,  $p \in \mathbb{Z}^+$ .

The following propositions are equivalent:

- **1**  $G_{k+1}(y) = F_{k+1}(y)$  for all  $y \in \mathbb{Z}^+$ ;
- ②  $G_{k+1}(pv_k) = F_{k+1}(pv_k)$  (can be used to give counterexample)
- $\mathbf{0}$   $1+G_k(\delta)\leq p$  (can be used to decide if the first statement holds)

## The uniqueness of $(p, \delta)$ :

- Since  $v_{k+1} > v_k$ ,  $v_{k+1}$  can be uniquely expressed as  $p'v_k + \eta$ , where  $0 \le \eta < v_k$ .
- $p'v_k + \eta = (p'+1)v_k (v_k \eta)$ . Set p'+1 = p,  $v_k \eta = \delta$ . The uniqueness of  $(p', \eta)$  implies the uniqueness of  $(p, \delta)$ .

#### **Some Remarks**

By the equivalence of (1) and (3), we can decide if greedy algorithm gives the optimal solution for  $k \geq 3$ .

Verifying the truth of statement (3) requiring O(k) complexity.

Statement (2) is a special case of proposition (1) when  $y = pv_k$ .

Statement (1) is true  $\Rightarrow$  Statement (2) is true Statement (2) is false  $\Rightarrow$  Statement (1) is false

The amount  $y=pv_k$  provide a counterexample for the correctness of greedy algorithm.

#### **Demo:** n=3

$$v_{k+1} = pv_k - \delta, 0 \le \delta < v_k, p \in \mathbb{Z}^+$$

$$proposition (3): 1 + G_k(\delta) \le p$$

Example.  $v_1 = 1$ ,  $v_2 = 5$ ,  $v_3 = 14$ ,  $v_4 = 18$ .

$$\forall y : G_1(y) = F_1(y), G_2(y) = F_2(y)$$

Decide if  $G_3(y) = F_3(y)$ 

To utilize proposition (3), we first compute tuple  $(p, \delta)$ :  $v_3 = pv_2 - \delta \Rightarrow p = 3, \delta = 1$ 

$$1 + G_2(\delta) = 1 + 1 = 2 \le 3 = p$$

Conclusion: proposition (3) is true thus greedy algorithm still works for n=3.

#### **Demo:** n=4

Example.  $v_1 = 1$ ,  $v_2 = 5$ ,  $v_3 = 14$ ,  $v_4 = 18$ .

$$\forall y \text{ we have: } G_1(y) = F_1(y) \text{, } G_2(y) = F_2(y) \text{, } G_3(y) = F_3(y)$$

Decide if  $G_4(y) = F_4(y)$ 

To utilize proposition (3), we first compute tuple  $(p, \delta)$ :

$$v_4 = pv_3 - \delta \Rightarrow p = 2, \delta = 10$$

$$1 + G_3(\delta) = 1 + 2 > p = 2$$

Conclusion: proposition (3) is false thus greedy algorithm does not work for n = 3.

Counterexample is give by proposition (2), i.e. n=4, y=pv3=28

Optimal solution  $x_3 = 2$  vs. Greedy solution  $(x_4 = 1, x_2 = 2)$